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DATE: May 4, 2004

UNITED STATES PATENT AND TRADEMARK OFFICE

TO: AFTER FINAL COMMUNICATION

Art Unit 3624

Andrew T. Spence, Reg. No. 45,699

In re: Scott H. Mathews et al.

Confirmation No. 9288

Appl. No. 09/902,021

Art Unit 3624

Filed July 10, 2001

Examiner: Geoffrey R. Akers

Title:

Systems, Methods and Computer Program Products for Performing

a Generalized Contingent Claim Valuation

Please process the attached Notice of Appeal to the Board of Patent Appeals and Interferences (1 page), and fax confirmation of receipt to 704-444-1111. Thank you.

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038190/268164

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Sarah Simmons 1142

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CLT01/4544166v1

Confirmation No.: 9288

Examiner: Geoffrey R. Akers

Group Art Unit: 3624

Attorney's Docket No. 038190/268164

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ln re:

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SYSTEMS, METHODS AND COMPUTER PROGRAM

PRODUCTS FOR PERFORMING A GENERALIZED

CONTINGENT CLAIM VALUATION

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## NOTICE OF APPEAL TO THE BOARD OF PATENT APPEALS AND INTERFERENCES (37 C.F.R. § 1.191)

Applicant in the above-identified patent application hereby appeals to the Board of Appeals and Interferences from the decision dated February 5, 2004, rejecting the following Claims 1 -- 27.

The fee for this Notice of Appeal under 37 CFR § 1.17(b) is enclosed.

П Applicant claims small entity Status.

П A check is enclosed.

(\$330.00 for large entity fee or \$165.00 if small entity status)

図 Please charge Deposit Account No. 16-0605 for the Notice of Appeal Filing Fee (\$330.00).

M Any additional fee or refund may be charged to our

Deposit Account No. 16-0605.

A petition for an extension of time under 37 CFR 1.136(a) is enclosed.

Respectfully submitted,

Andrew T. Spence Registration No. 45,699

24,2004

Customer No. 00826 ALSTON & BIRD LLP Bank of America Plaza 101 South Tryon Street, Suite 4000 Charlotte, NC 28280-4000 Tel Charlotte Office (704) 444-1000 Fax Charlotte Office (704) 444-1111

CERTIFICATION OF FACSIMILE TRANSMISSION

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CLT01/4645286v1